



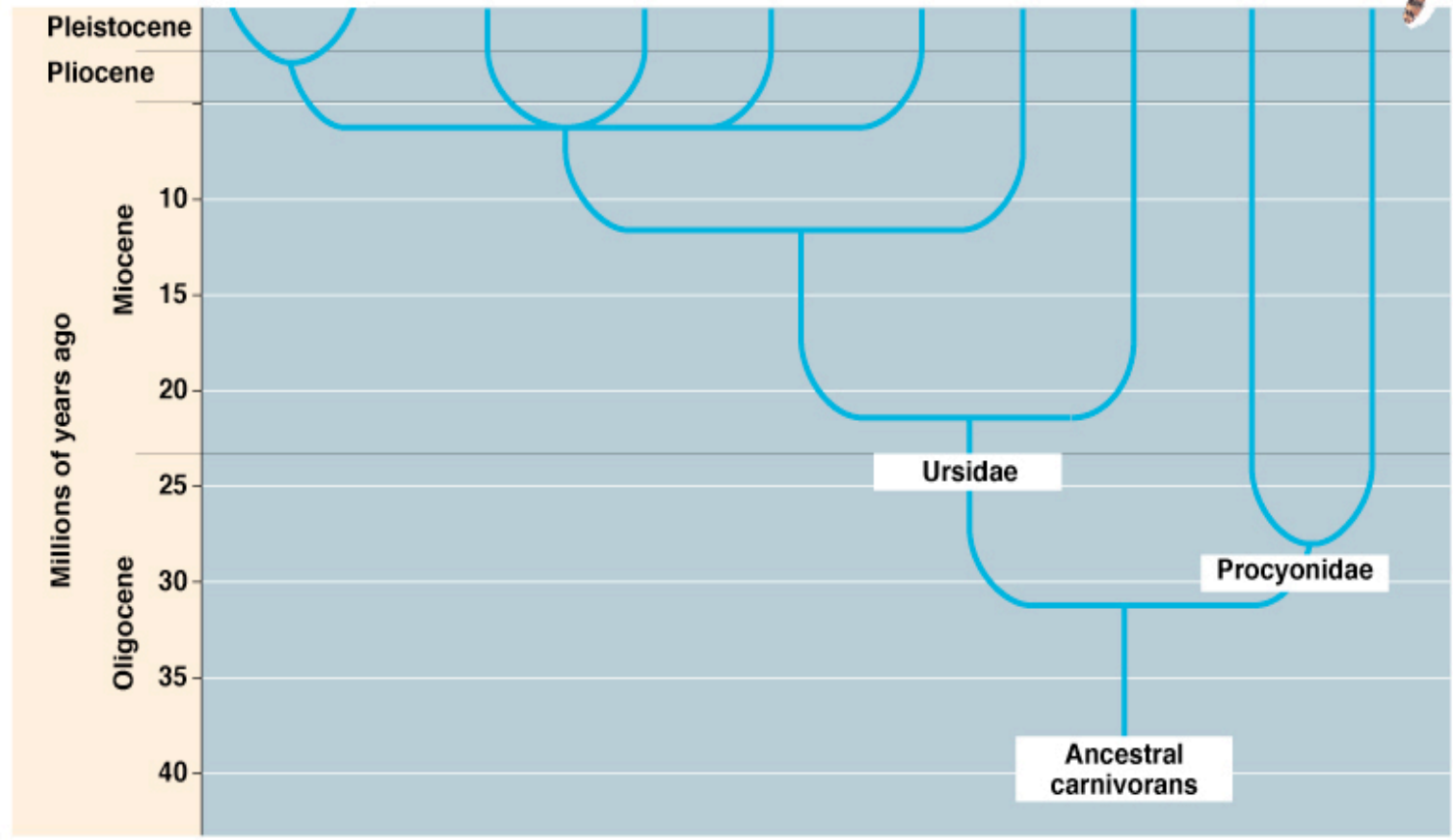
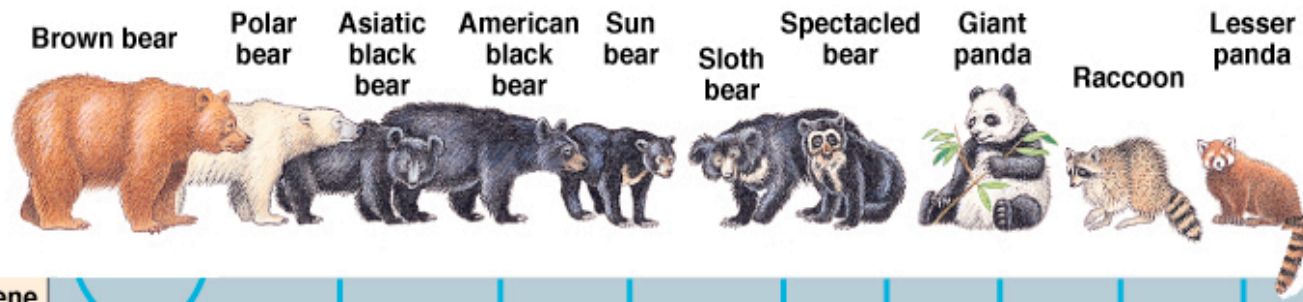
Play with Phylogenetic Trees



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2005-12-31

Outline

- What's Phylogenetic Trees?
- Build Phylogenetic Trees by Distance Methods
- Validate Phylogenetic Trees by Re-sampling
- Rock with PHYLIP



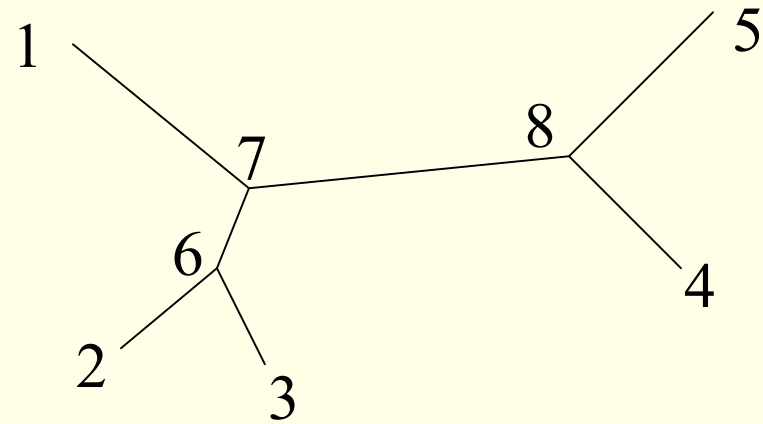
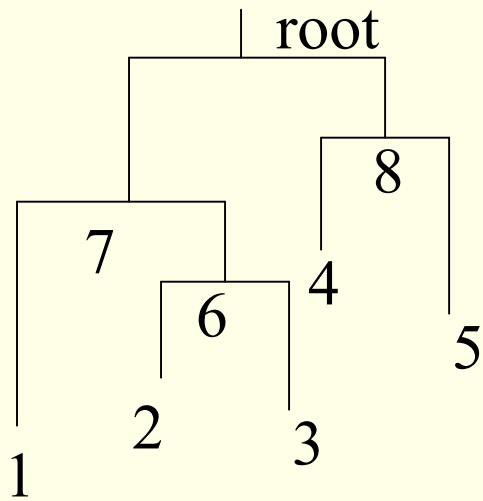
Phylogenetic Trees

- *Phylogenetics* is the study of evolutionary relationships among organisms
- A *phylogenetic tree* or *phylogeny* for a set of taxa (species, genes, ...) is an evolutionary tree representing their relationships.
 - A tree is an **acyclic graph**: horizontal transfer is ignored
 - Edge weights *may* represent distance in evolution

Phylogenetic Trees

- Trees can be **rooted** or **unrooted**.
 - In the case of unrooted trees we can assume to have not enough data to determine the root of the tree
- The leaves of a phylogenetic tree usually represent the **present day taxa**, the internal nodes represent **hypothesized ancestors**.

Tree Topology



Why Phylogenetic Trees?

- Evolution of **organisms** (tree of species)
- Evolution of **genes** (tree of gene)
- Application:
 - Comparative Genomics
 - Gene function prediction

Models and Methods

- Model: an abstract of “*real*” evolutionary events.
- **M**aximum **P**arsimony methods
- **D**istance **M**atrix methods
- **M**aximum **L**ikelihood methods
- Which is better?

Maximum Parsimony

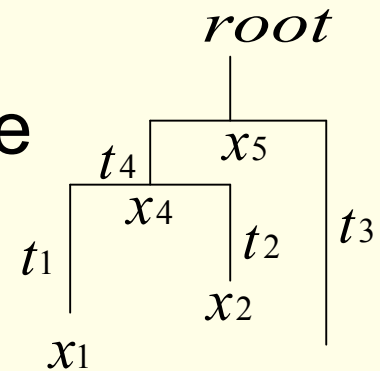
- Variation is **small**
- **All possible trees** are evaluated
 - ≤ 11 or 12 sequences concerned
 - Time-consuming
- Consensus tree for more than one MP trees

Distance Matrix methods

- Variation is **intermediate**
- Hierarchical inference
 - Rather faster than MP.
 - **Large** number of sequences
- The distance matrix can be derived from multiple alignment or evolution event or others like K-tuple method

Maximum Likelihood

- Variation could be **some larger**
- **All possible trees** are evaluated
 - ≤ 11 or 12 sequences concerned
- Both **topology** and **edge lengths** are considered.
 - based on probability inference.



$$P(x^{\bullet} | T, t_{\bullet})$$

How many possible trees?

Rooted tree

$$\frac{(2m - 3)!}{2^{m-2} \cdot (m - 2)!}$$

m=10:

34,459,425

Unrooted tree

$$\frac{(2m - 5)!}{2^{m-3} \cdot (m - 3)!}$$

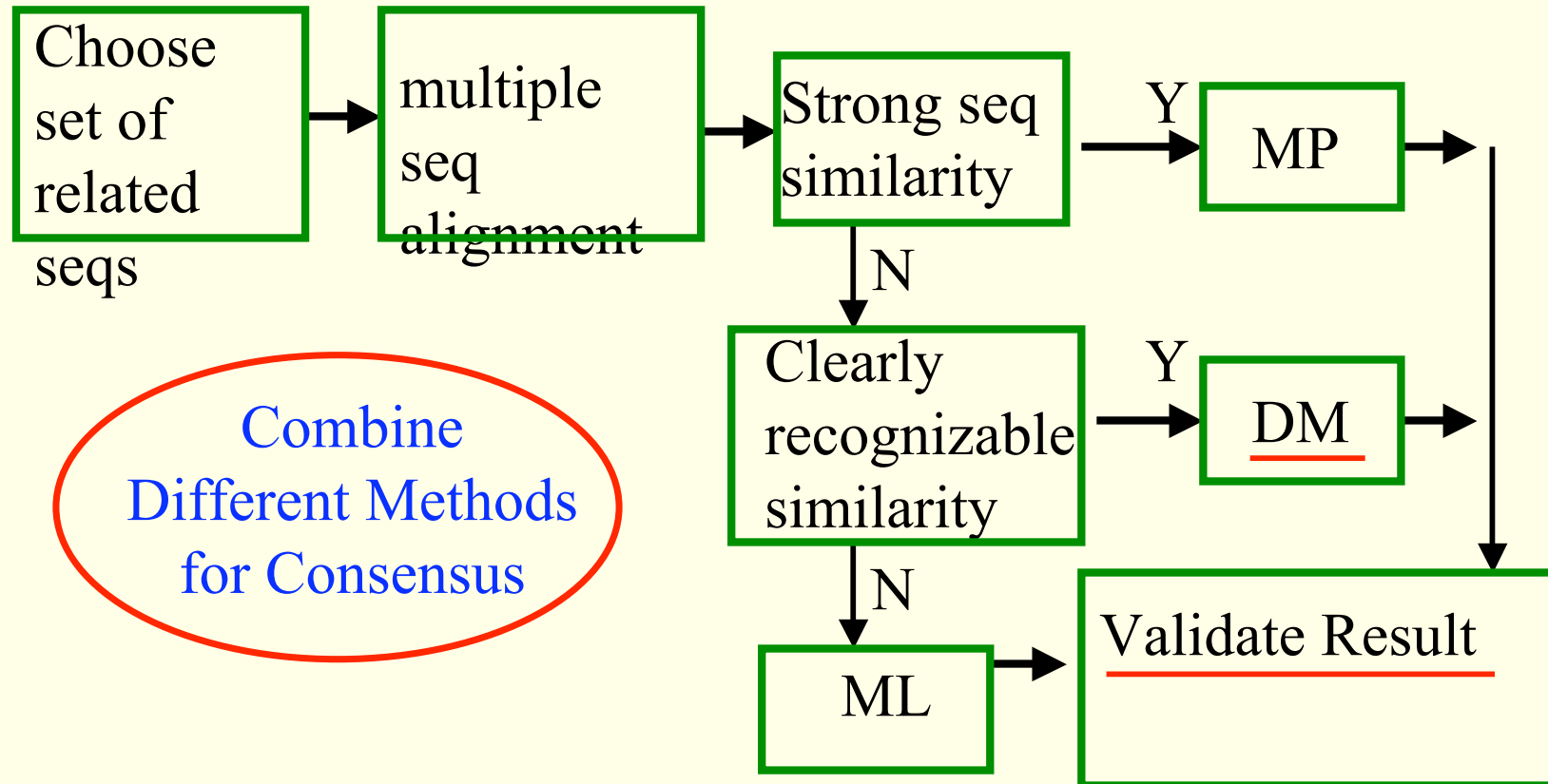
m=10:

2,027,025

A Quick Summary

	MP	DM	ML
Variation	+	++	+++
Computation Complex	++	+	+++
Edge Length Estimation	N	N	Y
Flexibility	+	+++	++

A General Protocol



Outline

- What's Phylogenetic Trees?
- Build Phylogenetic Trees by Distance Methods
- Validate Phylogenetic Trees by Re-sampling
- Rock by PHYLIP

Distance Methods

- Neighbors – the closest taxa
- Rather fast
- More reliable than MP when branch lengths vary (Jin and Nei, 1990; Swofford et al. 1996)
- Additive: the lengths be additive

Neighbors Joining

- Proposed by Saitou and Nei in 1987
 - Pearson et al. enhance NJ in 1999 (Not a single tree predicted)
- **Pairing sequences** based on the effect of the pairing on the sum of the sum of the branch lengths of the tree
- Starting from a **star-like tree**

Similarity to Distance

- Convert alignment scores to distances:

$$D = -\log S_{eff} = -\log \left\{ (S_{obs} - S_{rand}) / (S_{max} - S_{rand}) \right\}$$

S_{obs} is observed pairwise alignment score

S_{max} is the maximum score, the average of the score of aligning either sequence to itself.

S_{rand} is the expected score for aligning two random sequences of the same length and residue composition, which can be calculated by random shuffling of the two sequences or by an approximate calculation given in Feng & Doolittle[1996]

Neighbour Joining Algorithm

- For each node i the distance from the rest of the tree is estimated by

$$r_i = \frac{1}{N-2} \sum_{k \neq i} d_{i,k}$$

- Choose the nodes i and j that for which $D_{ij} = d_{ij} - r_i - r_j$ is smallest

join i and j (ij is new node)

- Compute branch length from i and j to ij

$$d_{i,(ij)} = \frac{1}{2} d_{i,j} + \frac{1}{2} (r_i - r_j), d_{j,(ij)} = \frac{1}{2} d_{i,j} + \frac{1}{2} (r_j - r_i)$$

- Compute the distances between the new cluster and each other cluster:

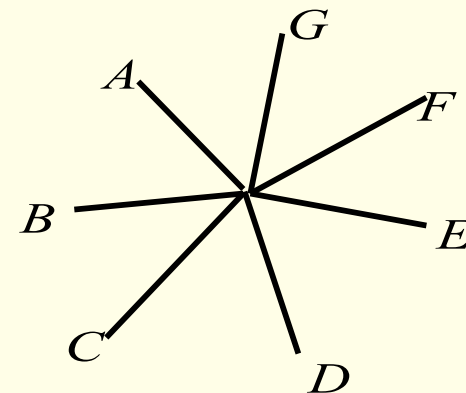
$$d_{(ij),k} = \frac{d_{i,k} + d_{j,k} - d_{i,j}}{2}$$

Neighbour joining algorithm(1)

	A	B	C	D	E	F	G	r_i
A		63	94	111	67	23	107	88.4
B	63		79	96	16	58	92	80.8
C	94	79		47	83	89	43	87
D	111	96	47		100	106	20	96
E	67	16	83	100		62	96	84.4
F	23	58	89	106	62		102	88
G	107	92	43	20	96	102		92

No
molecular clock
assumption

Start from the star-like tree
Calculate r_i



Neighbour joining algorithm(2)

	A	B	C	D	E	F	G	r_i
A		-106.2	-81.4	-73.4	-105.8	-153.4	-69.4	88.4
B	63		-88.8	-80.8	-149.2	-110.8	-80.8	80.8
C	94	79		-136	-84.4	-86	-136	87
D	111	96	47		-80.4	-78	-168	96
E	67	16	83	100		-110.4	-80.4	84.4
F	23	58	89	106	62		-78	88
G	107	92	43	20	96	102		92

Calculate D_{ij} , D and G are the closest

Calculate the branch lengths of D and G

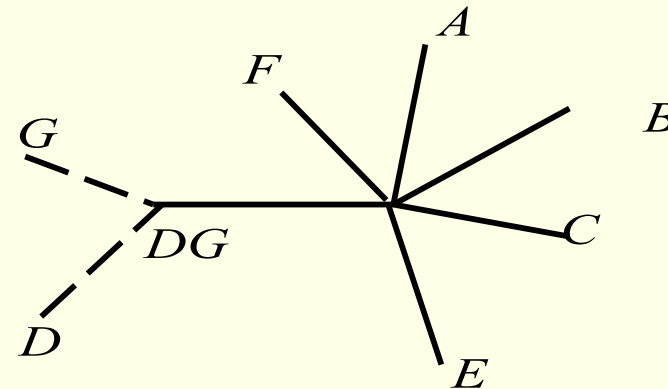
$$d = 12$$

$$g = 8$$

Neighbour joining algorithm(3)

	A	B	C	E	F	DG	r_i
A		63	94	67	23	94	85.25
B	63		79	16	58	84	75
C	94	79		83	89	35	95
E	67	16	83		62	88	79
F	23	58	89	62		94	81.5
DG	94	84	35	88	94		91.25

Join D and G, calculate the distances r_i from DG to other nodes



Neighbour joining algorithm(4)

	A	B	C	E	F	DG	r_i
A		-97.25	-86.25	-97.25	-143.75	-82.5	85.25
B	63		-91	-138	-98.5	-82.25	75
C	94	79		-91	-87.5	-151.25	95
E	67	16	83		-98.5	-82.25	79
F	23	58	89	62		-78.75	81.5
DG	94	84	35	88	94		91.25

Calculate D_{ij} , C and DG are the closest

Calculate the branch lengths of C and DG

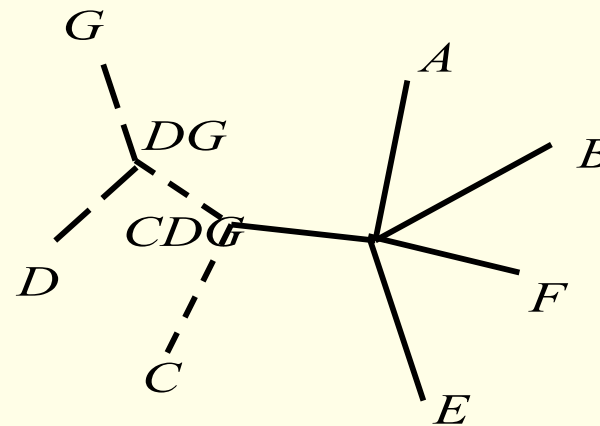
$$c = 19.375$$

$$dg = 15.625$$

Neighbour joining algorithm(5)

	A	B	E	F	CDG	r_i
A		63	67	23	61	71.3
B	63		16	58	64	67
E	67	16		62	60	68.3
F	23	58	62		74	72.3
CDG	61	64	60	74		98.3

Join DG and C, calculate the distances r_i from CDG to other nodes



Neighbour joining algorithm(6)

	A	B	E	F	CDG	r_i
A		-75.3	-72.6	-120.6	-108.6	71.3
B	63		-119.3	-81.3	-101.3	67
E	67	16		-78.6	-90	68.3
F	23	58	62		-96.3	72.3
CDG	61	64	60	74		98.3

Calculate D_{ij} , A and F are the closest

Calculate the branch lengths of A and F

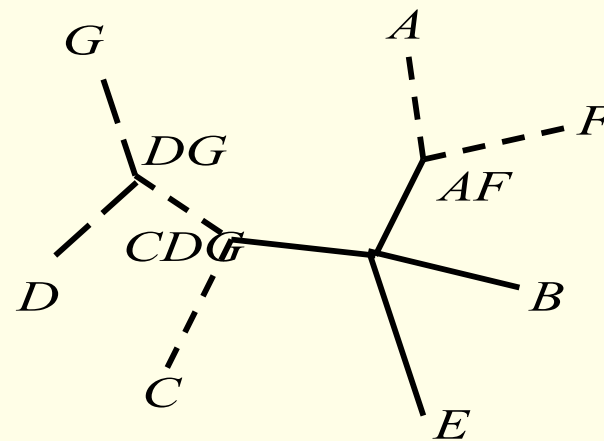
$$a = 11$$

$$f = 12$$

Neighbour joining algorithm(7)

	AF	B	E	CDG	r_i
AF		98	106	112	158
B	98		16	64	89
E	106	16		60	91
CDG	112	64	60		118

Join A and F, calculate the distances r_i from AF to other nodes



Neighbour joining algorithm(8)

	AF	B	E	CDG	r_i
AF		-149	-143	-164	158
B	98		-164	-143	89
E	106	16		-149	91
CDG	112	64	60		118

Calculate D_{ij} , B and E are the closest

Calculate the branch lengths of B and E

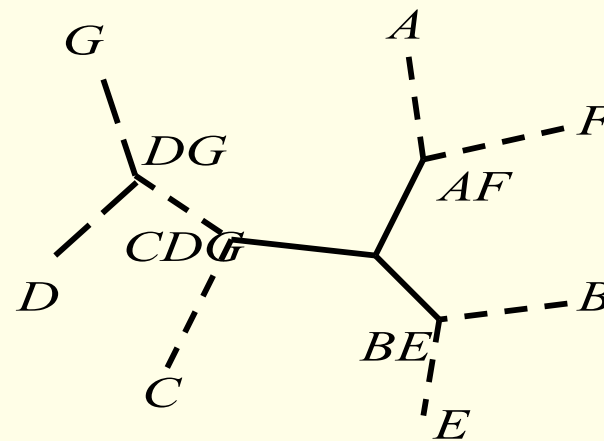
$$b = 7$$

$$e = 9$$

Neighbour joining algorithm(9)

	AF	BE	CDG	r_i
AF		188	112	300
BE	188		108	296
CDG	112	108		220

Join B and E, calculate the distances from BE to other nodes and r_i



Neighbour joining algorithm(10)

	AF	BE	CDG	r_i
AF		-408	-408	300
BE	188		-408	296
CDG	112	108		220

Calculate D_{ij} , BE and CDG are the closest

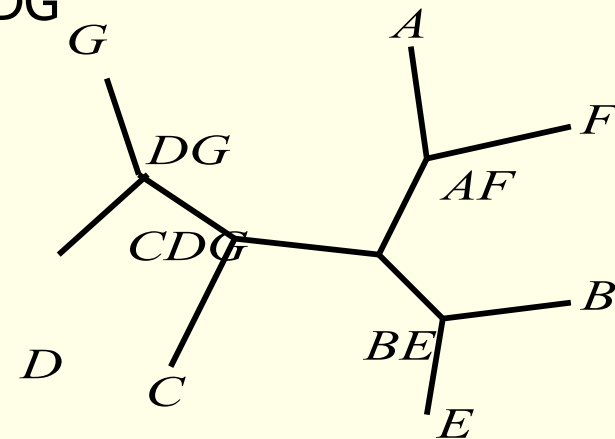
Calculate the branch lengths of BE and CDG

$$be = 92$$

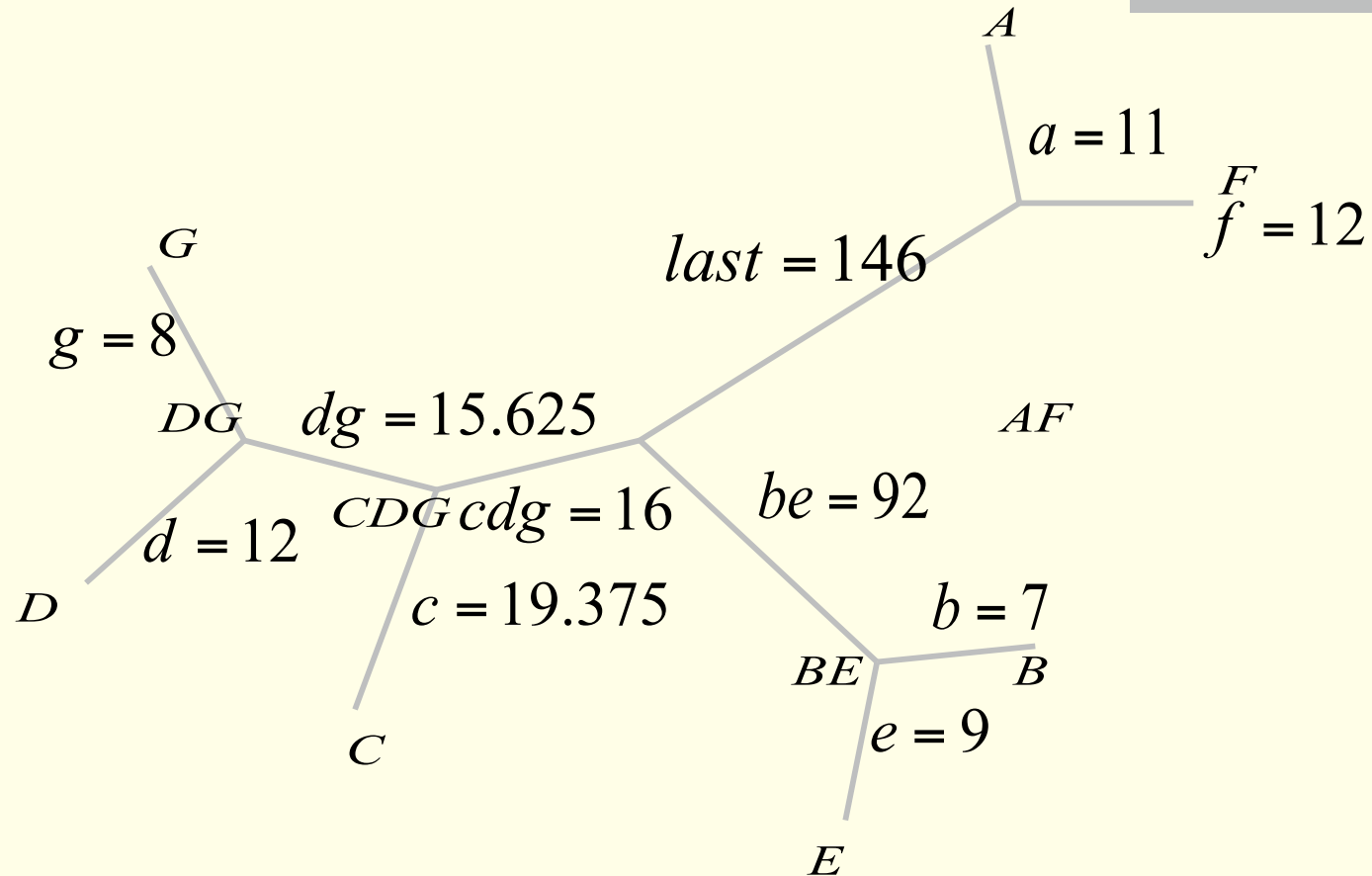
$$cdg = 16$$

Join BE and CDG, calculate the distances from BECDG to the last node

AF :146



Neighbour joining algorithm(11)

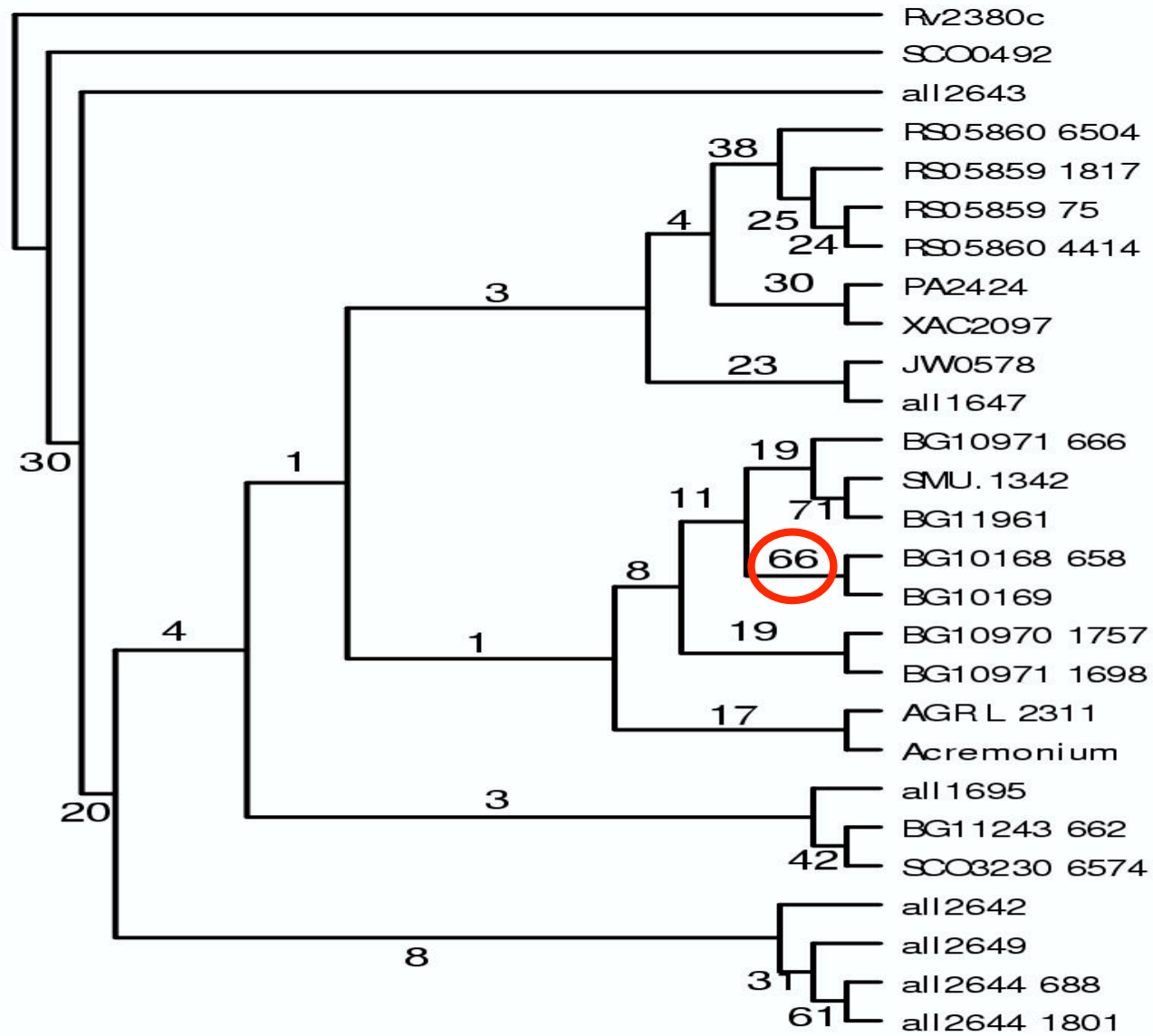


A Quick Summary

- NJ is fast and reliable for **topology**
 - But not **edges length**
- NJ do **not necessarily** assume molecular clock.
 - But it guarantees the assumption hold if required.
- Distances should hold **Triangle Law**.

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- What's Phylogenetic Trees?
- Build Phylogenetic Trees by Distance Methods
- **Validate Phylogenetic Trees by Re-sampling**
- Rock with PHYLIP



Validate the Inference

- Phylogenetic trees are inferred based on Model
 - Hypothetical Inference
- How **reliable** are the result?
 - **Reliability** vs. **Stability**
 - Validate the result by Re-sampling.

Bootstrap(1)

- Given a dataset consisting of an alignment of sequences, an **artificial dataset of the same size** is generated
 - by picking columns from the alignment at random with replacement.
- **One given column** in the original dataset can therefore appear **several times** in the artificial dataset

Bootstrap(2)

- The tree building algorithm is then applied to this new dataset, and the whole selection and tree building procedure is **repeated typically 100 times**.
- The **frequency with which a chosen phylogenetic feature appears** is taken to be a measure of the confidence we can have in this feature.
- At last, a **consensus tree** is created

Validate the Tree

- To **improve prediction of trees** and assist with **localization of the root**, an **outgroup** could be set.
- An **outgroup** of the following criteria:
 - From species that are known to have separated from the others at an early evolutionary time
 - More distantly related with other sequences

More words on Outgroup

- More than one can be selected
- By independently information, such as fossil evidence
- Too distant an outgroup may lead to incorrect prediction

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Phylogenetic Software

- Multialignment
 - ClustalW
 - POA
- Phylogenetic analysis
 - PHYLIP (Felsenstein, 1989, 1996)
 - PAUP (Sinuar Associates)
 - PAML (Yang Ziheng)
 - MEGA (Nei)
 - MacClade (Macintosh computer)

Programs in PHYLIP

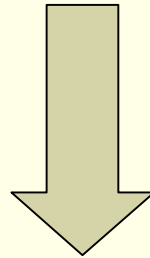
- Create a distance table by:
 - DNADIST: various models of evolution
 - PROTDIST: based on the PAM model or others

- as input to the following:
 - NEIGHBOR:
 - NJ, no clock, no root
 - UPGMA and a clock and root

NJ @ PHYLIP

- Multiple alignment: **clustalw**,
 - save the output in phylip format (*.phy)
- Bootstrap the sequence data: **SEQBOOT**
- Build Phylogenetic trees: **NEIGHBOR**
- Calc Consensus : **CONSENSUS**

- Mo3 ATGTATTTTCGTACATTACTGCCAGCCACCATGAATATTGCACGGTACCAT
- Mo5 ATGTATTTTCGTACATTACTGCCAGCCACCATGAATATTGTACGGTACCAT
- Mo6 ATGTATTTTCGTACATTACTGCCAGCCACCATGAATATTGTACGGTACCAT
- Mo7 ATGTATTTTCGTACATTACTGCCAGCCACCATGAATATTGTACAGTACCAT
- Mo8 ATGTATTTTCGTACATTACTGCCAGCCACCATGAATATTGTACAGTACCAT
- Mo9 ATGTATCTCGTACATTACTGCCAGCCACCATGAATATTGTACGGTACCAT
- Mo12 ATGTATTTTCGTACATTACTG CCAGCCACCATGAATATTGTACGGTACCAT
- Mo13 ATGTATCTCGTACATTACTGCCAGCCACCATGAATATTGTACGGTACCAT



Multiple Sequence Alignment (*PHY)

Multiple alignment in Phylip format

20 1565

```
DENV-3 ATGACAATGA GATGACATGG AGTAGGAAAC AGAGATTTTG TGGAAAGCCT
DENV-1 ATGCCCATGC GGTGCGTGGG AATGAGCAAC AGAGACTTCG TGGAAAGGACT
DENV-2 -----ATGC GCTGCATAGG AATATCAAAAT AGGAAAGGACT
DENV-4 -----ATGC GGTGCGTAGG AGTAGGAAAC AGAGACTTTG TGGAAAGCCT
KUNV -----TTTA ACTGTCTGGG AATGAGCAAC AGAGATTTCC TGGAGGGAGT
WNV -----TTCA ACTGTTTTAGG AATGAGCAAC AGAGACTTCC TGGAGGGAGT
JEV -----TTTA ATTGTCTGGG AATGAGCAAC AGAGACTTCA TAGAAGGAGC
MVEV -----TTTA ACTGTCTGGG AATGAGCAAC AGAGACTTCA TTGAAGGTGC
YFV -----GCTC ACTGCATTGG AATTACTGAC AGGAAAGGACT TGGAGGGGGT
LGTV -----TCGA GATGCACCCA CCTGGAAAAC AGAGACTTCC TGGAGGGGGT
ALKV -----ACTC GGTGCACACA CCTGCAGAAC CGGGACTTTG TGGAGGGGGT
TBEV -----TCGA GATGCACACA CCTGGAAAAC AGGGACTTTG TGACTGAGGAT
LIV -----TCGA GCTGCACACA CTTGGAAAAC AGGGACTTTG TCACTGGCAC
DTV -----ACGA GATGCACACA CTTGAGAAC AGGGACTTTG TGACAGGAGT
POWV -----ACGA GGTGCACACA CTTGAGAAC AGAGATTTTG TGACAGGAAC
MMLV -----ACCA ATTGTGTTTC TATGAGAAAG AGGGACATCC TAAGAGGATC
RBV -----ACTC AGTGTGTCAA CATCCAAAG CGTGATATCA TAAGGGGAGC
APOIV -----AGCA CCTGTGTTAG TGTGGCCAAA AATGATATGA TCCGTGGTGA
MODV -----ACCA ATTGTGTGAC CATATCACAG AGAGAAAGG TTAAGGAGT
KRV -----T CTTCCATTGA GCCGTTGTAT ACCATCTCGG TGGAGGGAAAC

ATCGGGAGCT ACGTGGGTTG ACGTGGTGCT CGAGCACGGT GGGTGTGTGA
GTCAGGAGCT ACGTGGGTGG ATGTGGTACT GGAGCATGGA AGTTGCGTCA
GTCAGGAGGG AGTTGGGTTG ACATAGTTTT AGAACATGGA AGTTGTGTGA
CTCAGGTGGA GCATGGGTGCG ACCTGGTGCT AGAACATGGA GGATGCGTCA
GTCCGGAGCA ACATGGGTGG ACTTGGTCCT TGAAGGTGAC AGCTGTGTGA
GTCTGGAGCT ACATGGGTTG ATCTGGTACT GGAAGGCGAT AGTTGTGTGA
CAGTGGAGCC ACTTGGGTGG ACTTGGTGCT AGAAGGAGAT AGCTGCTTGA
TTCAGGAGCT ACATGGGTGCG ATTTGGTGCT GGAGGGCGAC AGTTGCATCA
```

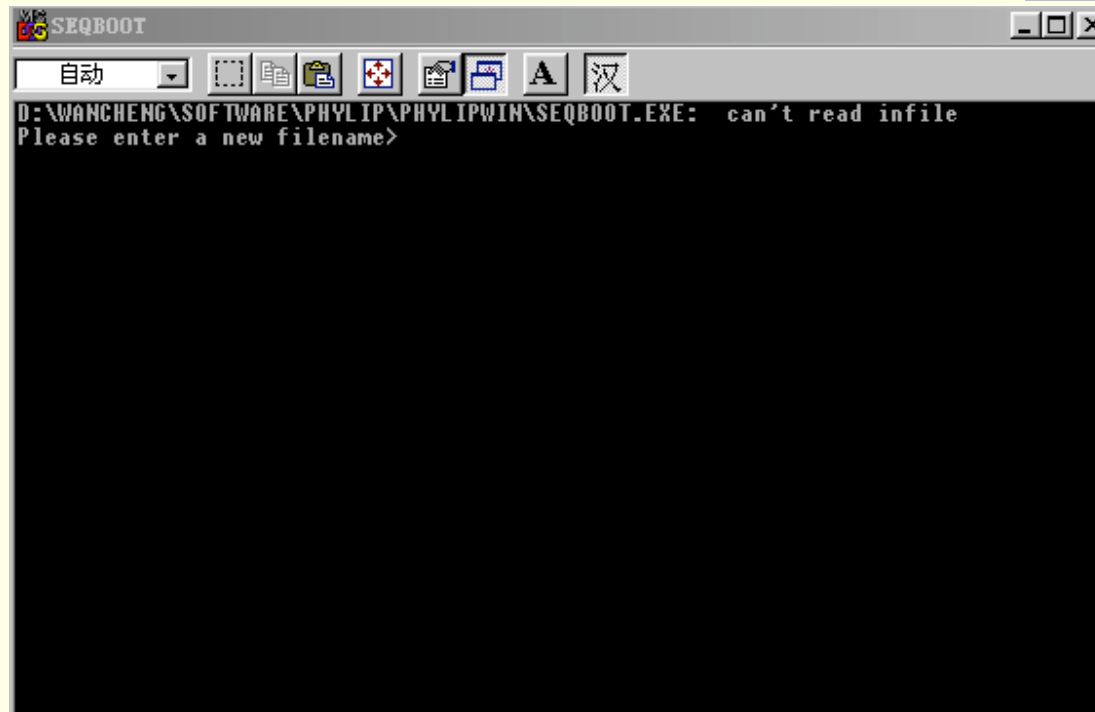
Sequence length

No of OTUs

OTUs

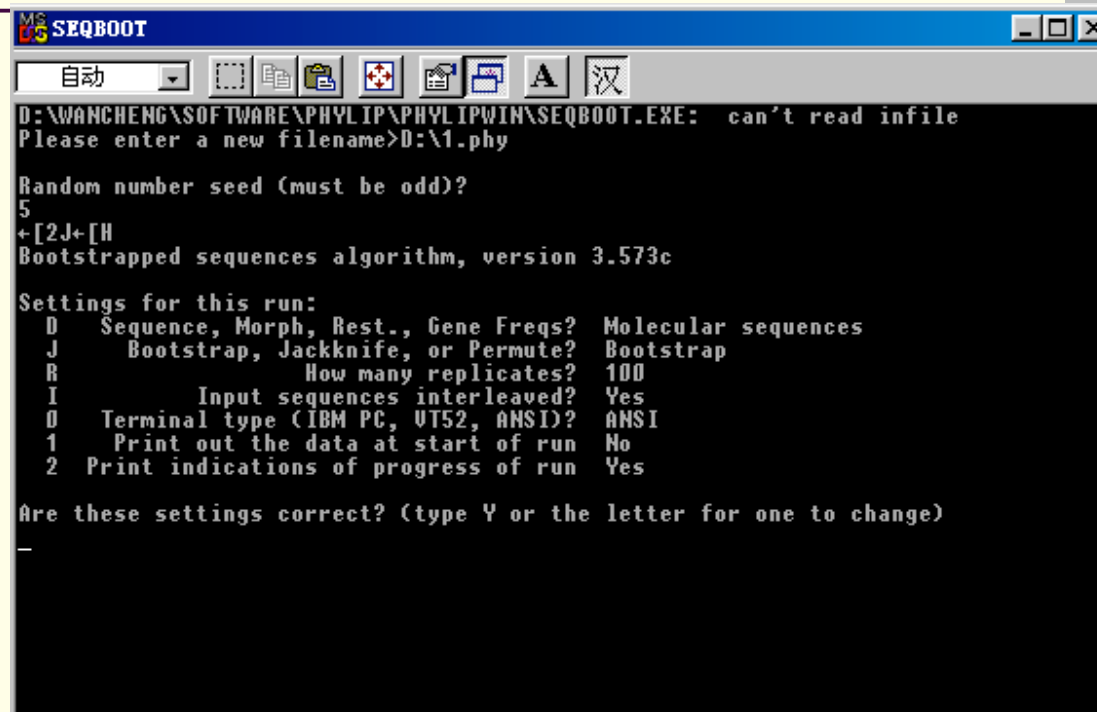
For Help, press F1

SEQBOOT



1. The name of *.PHY
2. Input a Random number seed (must be odd)

SEQBOOT



```
MS-DOS WINDOW: SEQBOOT
自动 [Icons] [A] [汉]
D:\WANCHENG\SOFTWARE\PHYLIP\PHYLIPWIN\SEQBOOT.EXE: can't read infile
Please enter a new filename>D:\1.phy

Random number seed (must be odd)?
5
←[2J+ [H
Bootstrapped sequences algorithm, version 3.573c

Settings for this run:
D Sequence, Morph, Rest., Gene Freqs? Molecular sequences
J Bootstrap, Jackknife, or Permute? Bootstrap
R How many replicates? 100
I Input sequences interleaved? Yes
0 Terminal type (IBM PC, VT52, ANSI)? ANSI
1 Print out the data at start of run No
2 Print indications of progress of run Yes

Are these settings correct? (type Y or the letter for one to change)
-
```

J == Bootstrap

R == number of republicate, typical 100

```
outfile - 记事本
文件(F) 编辑(E) 搜索(S) 帮助(H)

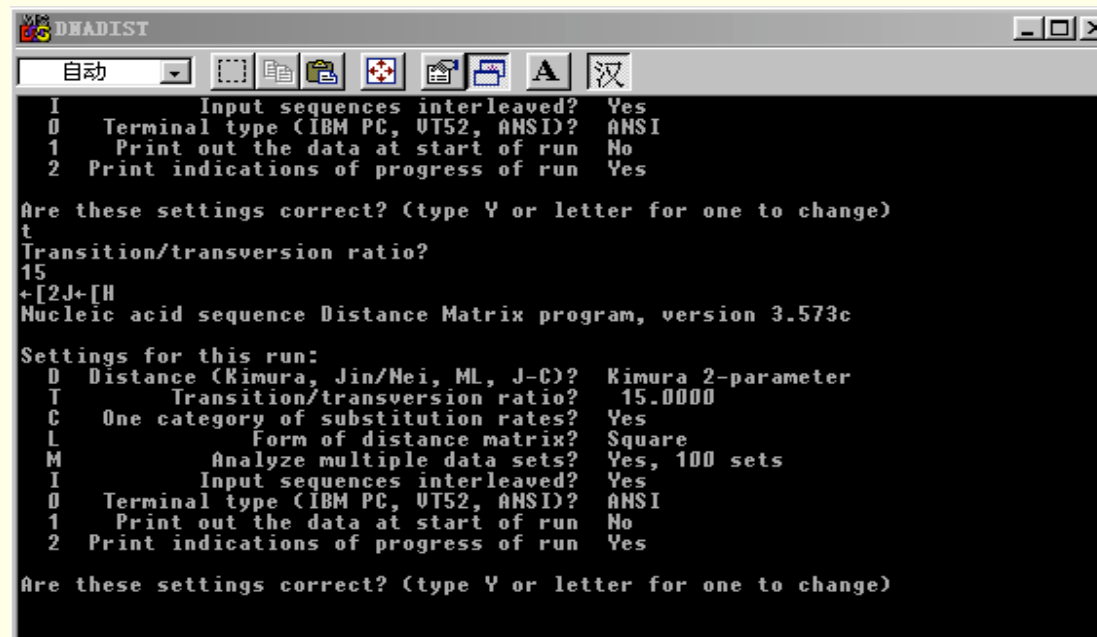
      8      50
Mo3      AATGTATTG TATTAACCC CAAACCCCA TAATTTGGG CAACTCTTT
Mo5      AATGTATTG TATTAACCC CAAACCCCA TAATTTGGG TAACTCTTT
Mo6      AATGTATTG TATTAACCC CAAACCCCA TAATTTGGG TAACTCTTT
Mo7      AATGTATTG TATTAACCC CAAACCCCA TAATTTGGG TAACTCTTT
Mo8      AATGTATTG TATTAACCC CAAACCCCA TAATTTGGG TAACTCTTT
Mo9      AATGTATTG TATTAACCC CAAACCCCA TAATTTGGG TAACTCTTT
Mo12     AATGTATTG TATTAACCC CAAACCCCA TAATTTGGG TAACTCTTT
Mo13     AATGTATTG TATTAACCC CAAACCCCA TAATTTGGG TAACTCTTT

      8      50
Mo3      TTGTTAAAA CATTAAACT TGACGGGATA TGGGCAACC GGGACCAAT
Mo5      TTGTTAAAA CATTAAACT TGACGGGATA TGGGTAACC GGGACCAAT
Mo6      TTGTTAAAA CATTAAACT TGACGGGATA TGGGTAACC GGGACCAAT
Mo7      TTGTTAAAA CATTAAACT TGACGGGATA TGGGTAACC GGGACCAAT
Mo8      TTGTTAAAA CATTAAACT TGACGGGATA TGGGTAACC GGGACCAAT
Mo9      TTGCTAAAA CATTAAACT TGACGGGATA TGGGTAACC GGGACCAAT
Mo12     TTGTTAAAA CATTAAACT TGACGGGATA TGGGTAACC GGGACCAAT
Mo13     TTGCTAAAA CATTAAACT TGACGGGATA TGGGTAACC GGGACCAAT

      8      50
Mo3      ATGGGTTTT CGGAAATTAC CTCCCAAGCA TAAATAATTT GGGTACCCTT
Mo5      ATGGGTTTT CGGAAATTAC CTCCCAAGCA TAAATAATTT GGGTACCCTT
Mo6      ATGGGTTTT CGGAAATTAC CTCCCAAGCA TAAATAATTT GGGTACCCTT
Mo7      ATGGGTTTT CGGAAATTAC CTCCCAAGCA TAAATAATTT GGGTACCCTT
Mo8      ATGGGTTTT CGGAAATTAC CTCCCAAGCA TAAATAATTT GGGTACCCTT
```

The result file with 100 replicate

DNADIST



```
DNADIST
自动
I Input sequences interleaved? Yes
0 Terminal type (IBM PC, VT52, ANSI)? ANSI
1 Print out the data at start of run No
2 Print indications of progress of run Yes

Are these settings correct? (type Y or letter for one to change)
t
Transition/transversion ratio?
15
←[2J←[H
Nucleic acid sequence Distance Matrix program, version 3.573c

Settings for this run:
D Distance (Kimura, Jin/Nei, ML, J-C)? Kimura 2-parameter
T Transition/transversion ratio? 15.0000
C One category of substitution rates? Yes
L Form of distance matrix? Square
M Analyze multiple data sets? Yes, 100 sets
I Input sequences interleaved? Yes
0 Terminal type (IBM PC, VT52, ANSI)? ANSI
1 Print out the data at start of run No
2 Print indications of progress of run Yes

Are these settings correct? (type Y or letter for one to change)
```

T: 15 ~ 30

M: 100

Distance Matrix

outfile - 写字板

文件(F) 编辑(E) 查看(V) 插入(I) 格式(O) 帮助(H)

宋体 10 B U

8

Mo3	0.0000	0.0415	0.0415	0.0415	0.0415	0.0415	0.0415	0.0415
Mo5	0.0415	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Mo6	0.0415	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Mo7	0.0415	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Mo8	0.0415	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Mo9	0.0415	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Mo12	0.0415	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Mo13	0.0415	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

8

Mo3	0.0000	0.0204	0.0204	0.0204	0.0204	0.0415	0.0204	0.0415
Mo5	0.0204	0.0000	0.0000	0.0000	0.0000	0.0204	0.0000	0.0204
Mo6	0.0204	0.0000	0.0000	0.0000	0.0000	0.0204	0.0000	0.0204
Mo7	0.0204	0.0000	0.0000	0.0000	0.0000	0.0204	0.0000	0.0204
Mo8	0.0204	0.0000	0.0000	0.0000	0.0000	0.0204	0.0000	0.0204
Mo9	0.0415	0.0204	0.0204	0.0204	0.0204	0.0000	0.0204	0.0000
Mo12	0.0204	0.0000	0.0000	0.0000	0.0000	0.0204	0.0000	0.0204
Mo13	0.0415	0.0204	0.0204	0.0204	0.0204	0.0000	0.0204	0.0000

8

Mo3	0.0000	0.0000	0.0000	0.0000	0.0000	0.0204	0.0000	0.0204
Mo5	0.0000	0.0000	0.0000	0.0000	0.0000	0.0204	0.0000	0.0204
Mo6	0.0000	0.0000	0.0000	0.0000	0.0000	0.0204	0.0000	0.0204
Mo7	0.0000	0.0000	0.0000	0.0000	0.0000	0.0204	0.0000	0.0204
Mo8	0.0000	0.0000	0.0000	0.0000	0.0000	0.0204	0.0000	0.0204
Mo9	0.0204	0.0204	0.0204	0.0204	0.0204	0.0000	0.0204	0.0000
Mo12	0.0000	0.0000	0.0000	0.0000	0.0000	0.0204	0.0000	0.0204

要“帮助”，请按 F1

NUM

100 replica → 100 distance matrix

NEIGHBOR

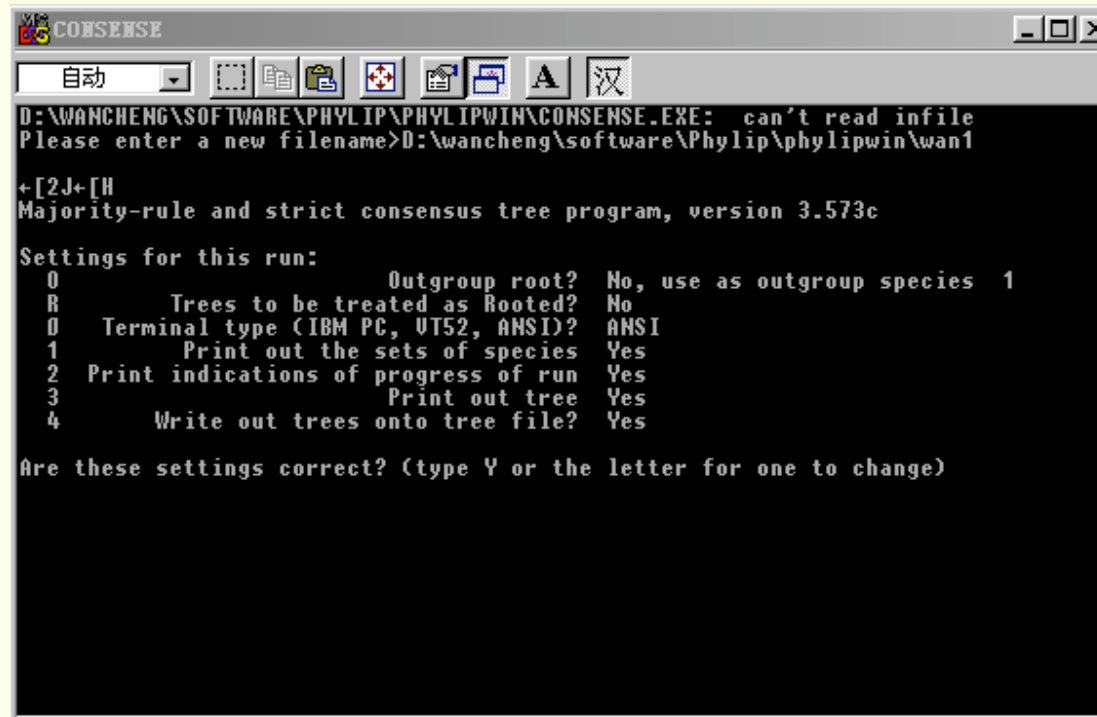
```
+ [2J+ [H
Neighbor-Joining/UPGMA method version 3.5

Settings for this run:
N      Neighbor-joining or UPGMA tree?  Neighbor-joining
O      Outgroup root?                  No, use as outgroup species 1
L      Lower-triangular data matrix?   No
R      Upper-triangular data matrix?   No
S      Subreplicates?                  No
J      Randomize input order of species? No. Use input order
M      Analyze multiple data sets?     No
O      Terminal type (IBM PC, VT52, ANSI)? ANSI
1      Print out the data at start of run No
2      Print indications of progress of run Yes
3      Print out tree                   Yes
4      Write out trees onto tree file?  Yes

Are these settings correct? (type Y or the letter for one to change)
```

➤ M == 100

CONSENSE

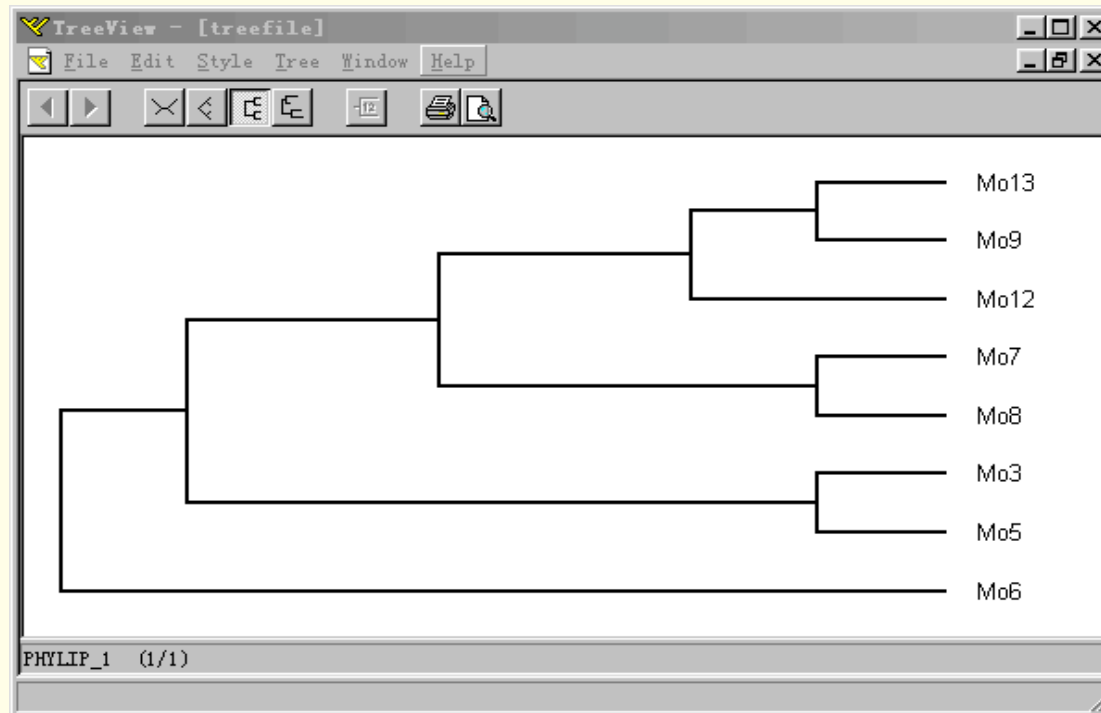


```
CONSENSE
自动
D:\WANCHENG\SOFTWARE\PHYLIP\PHYLIPWIN\CONSENSE.EXE: can't read infile
Please enter a new filename>D:\wancheng\software\Phylip\phylipwin\wan1
+[2J+[H
Majority-rule and strict consensus tree program, version 3.573c

Settings for this run:
0          Outgroup root?  No, use as outgroup species  1
R          Trees to be treated as Rooted?  No
0          Terminal type (IBM PC, VT52, ANSI)?  ANSI
1          Print out the sets of species  Yes
2          Print indications of progress of run  Yes
3          Print out tree  Yes
4          Write out trees onto tree file?  Yes

Are these settings correct? (type Y or the letter for one to change)
```

View the **Trefile** by TREEVIEW



More Help on PHYLIP

- Homepage:

- <http://evolution.genetics.washington.edu/phylip.html>

- A pretty good tutorial:

- <http://koti.mbnet.fi/tuimala/oppaat/phylip2.pdf>



Thank you for your attentions!



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